

Appendix: Basis of Key Data Preparation

Number of volunteer activities (number): The term disclosed herein refers to the total number of volunteer activities undertaken by CITIC Limited's headquarters and subsidiaries during the reporting period.

Total number of employees (persons): The term disclosed herein refers to the total number of all formal employees in-service at CITIC Limited's headquarters and subsidiaries at the end of the reporting period, excluding dispatched employees.

Proportion of female employees (%): The term disclosed herein refers to the percentage of all formal female employees in-service over the total number of employees of CITIC Limited's headquarters and subsidiaries at the end of the reporting period.

Labor contract signing rate (%): The term disclosed herein refers to the percentage of formal employees in-service who have signed labor contracts with CITIC Limited's headquarters and subsidiaries as of the end of the reporting period.

Number of employee training programs (number): The term disclosed herein refers to the total number of training programs, including online and face-to-face training, carried out by CITIC Limited's headquarters during the reporting period.

Electricity consumption per capita at headquarters (MWh/person): The term disclosed herein refers to the average electricity consumption per formal employee in-service at CITIC's headquarters during the reporting period, which is the total electricity consumption of CITIC Limited's headquarters during the reporting period over the total number of formal employees in-service of CITIC Limited's headquarters at the end of the reporting period.

Water consumption per capita at headquarters (m³/person): The term disclosed herein refers to the average water consumption per formal employee in-service at CITIC Limited's headquarters during the reporting period, which is the total water consumption of CITIC Limited's headquarters during the reporting period over the total number of formal employees in-service of CITIC Limited's headquarters at the end of the reporting period.

Gasoline consumption per capita at headquarters (Liters/Person): The term disclosed herein refers to the average gasoline consumption per formal employee in-service at CITIC Limited's headquarters during the reporting period, which is the total gasoline consumption of CITIC Limited's headquarters during the reporting period over the total number of formal employees in-service of CITIC Limited's headquarters at the end of the reporting period.

Green credit balance (RMB hundred million): The term disclosed herein refers to the aggregate amount of the loans balance of CITIC Bank Co., Ltd. as of the end of the reporting period, for the investment made in energy conservation and environmental protection, clean production, clean energy, ecological environment, green upgrading of infrastructure, green services, offshore projects that adopt international practices or international standards, green trade financing, and green consumer financing fields.

Customer complaint settlement rate (%): The term disclosed herein refers to the proportion of the customer complaints settled by CITIC Bank Co., Ltd. to the total customer complaints during the reporting period.