

## Dissemination of Electronic Corporate Communications

Pursuant to Rule 2.07A of the Rules (the “**Listing Rules**”) Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) and the articles of association of CITIC Limited (the “**Company**”), the Company will disseminate future corporate communications of the Company (the “**Corporate Communications**”) to its Shareholders<sup>2</sup> electronically and only send Corporate Communications in printed form to the Shareholders upon request.

### ARRANGEMENTS

#### 1. Actionable Corporate Communications<sup>3</sup>

The Company will send the Actionable Corporate Communications to its Shareholders individually in electronic form (by email). If the Company does not possess the email address of a Shareholder or the email address provided is not functional<sup>4</sup>, the Company will send the Actionable Corporate Communications in printed form together with a request form for soliciting the Shareholder’s functional email address to facilitate electronic dissemination of Actionable Corporate Communications in the future.

#### 2. Corporate Communications

The Company will make the Corporate Communications available on its website ([www.citic.com](http://www.citic.com)) and the Stock Exchange’s website ([www.hkexnews.hk](http://www.hkexnews.hk)). You may access to the Corporate Communications by clicking “Investor Relations” on the homepage of the Company’s website.

The Company will not send a notice of publication of the Website Version<sup>5</sup> of the Corporate Communications to its Shareholders. The Shareholders are encouraged to proactively monitor the availability of all future Corporate Communications on the websites of the Company and the Stock Exchange and access the Website Version<sup>5</sup> of Corporate Communications by themselves.

Shareholders are encouraged to subscribe for the News Alert service provided by HKEX (currently at [www.hkex.com.hk/eng/invest/user/login\\_e.aspx](http://www.hkex.com.hk/eng/invest/user/login_e.aspx)). Through the News Alert service, subscribers will receive alerts when the Company publishes regulatory notices on the HKEXnews Website or when disclosure of interest filings are made in respect of the Company.

The Shareholders of the Company have the right to request documents or information to be sent or supplied in electronic form or in hard copy form under the Cap. 622 Companies Ordinance

### PROVISION OF SHAREHOLDER’S EMAIL ADDRESS TO THE COMPANY

In support of electronic communication by email, the Company recommends its Shareholders to provide the Company with their email address at any time in future by reasonable notice in writing to the Company’s share registrar (the “**Share Registrar**”), Tricor Tengis Limited<sup>6</sup>, at 17/F, Far East Finance Centre, 16 Harcourt Road, Hong Kong or by email to [citic-ecom@vistra.com](mailto:citic-ecom@vistra.com).

It is the responsibility of the Shareholders to provide email address that is functional. If the Company does not possess the email address of a Shareholder or the email address provided is not functional, the Company will act according to the above arrangements. The Company will be considered to have complied with the Listing Rules if it sends Actionable Corporate Communications to the email address provided by a Shareholder without receiving any “non-delivery message”.

## **REQUEST FOR CORPORATE COMMUNICATIONS AND ACTIONABLE CORPORATE COMMUNICATIONS IN PRINTED FORM**

All previous requests to the Company to receive Corporate Communications in printed form will no longer be valid. For those Shareholders who still wish to receive a printed version of all future Corporate Communications and Actionable Corporate Communications or, if for any reason, have difficulty in gaining access to the Company's website, the Company will, upon receipt of request in writing by the Shareholder to the Share Registrar, Tricor Tengis Limited<sup>6</sup>, at 17/F, Far East Finance Centre, 16 Harcourt Road, Hong Kong or by email to [citic-ecom@vistra.com](mailto:citic-ecom@vistra.com), send the future Corporate Communications and/or the relevant Corporate Communications (as the case may be) to such Shareholders in printed form free of charge.

Please note that the request for receiving Corporate Communications in printed form by a Shareholder will remain valid unless it is revoked or superseded or until it expires on the last day of the following financial year of the Company (whichever is earlier). Further request in writing will be required if a Shareholder wishes to continue receiving printed version of the future Corporate Communications and Actionable Corporate Communications.

## **DISSEMINATION OF CORPORATE COMMUNICATIONS TO NON-REGISTERED SHAREHOLDER<sup>7</sup>**

If Non-registered Shareholders wish to receive a printed version of all future Corporate Communications, they should liaise with their bank(s), broker(s), custodian(s), nominee(s) or HKSCC Nominees Limited through which their shares are held for necessary arrangements.

### *Notes:*

- 1. Corporate Communications include any document(s) issued or to be issued by the Company for the information or action of holders of any of its securities or the investing public, including but not limited to (a) the directors' report and its annual accounts together with a copy of the auditors' report and, where applicable, its summary financial report; (b) the interim report and, where applicable, its summary interim report; (c) the quarterly report, if any; (d) a notice of meeting; (e) a listing document; (f) a circular; and (g) a proxy form.*
- 2. Holder(s) of the share(s) of the Company.*
- 3. Actionable Corporate Communications refer to any Corporate Communications that seek instructions from the Shareholders on how they wish to exercise their rights or make elections as the Shareholders.*
- 4. It is the responsibility of a Shareholder to provide email address that is functional. The Company will be considered to have complied with the Listing Rules if it sends Actionable Corporate Communications to the email address provided by a Shareholder without receiving any "non-delivery message".*
- 5. The electronic version of the Corporate Communications being published, in both English and Chinese, on the Company's website.*
- 6. Share Registrar will be changed to Tricor Investor Services Limited with effect from 30 April 2025, details of which are set out in the Company's announcement dated 2 April 2025.*
- 7. Non-registered Shareholder means (i) such person or company whose shares in the Company are held in the Central Clearing and Settlement System; and (ii) who has notified the Company from time to time through Hong Kong Securities Clearing Company Limited that such person or company wishes to receive Corporate Communications.*

To: CITIC Limited (the “Company”)  
c/o Tricor Tengis Limited\*  
17/F, Far East Finance Centre  
16 Harcourt Road, Hong Kong

### Provision of Email Address and/or Request for Printed Copy of Corporate Communications and Actionable Corporate Communications

Information of shareholder(s) of the Company (the “Shareholder(s)”):

Name(s) (in English)	:	
Name(s) (in Chinese)	:	
Email address	:	
Email address (re-type)	:	
Contact telephone number	:	

Please mark (X) in **ONLY ONE** of the following boxes (**applicable for dissemination arrangement via printed copy**):

<input type="checkbox"/>	To receive the <b>printed English version ONLY</b> for all future Corporate Communications and Actionable Corporate Communications; <b>OR</b>
<input type="checkbox"/>	To receive the <b>printed Chinese version ONLY</b> for all future Corporate Communications and Actionable Corporate Communications; <b>OR</b>
<input type="checkbox"/>	To receive the <b>printed English and Chinese versions</b> of all future Corporate Communications and Actionable Corporate Communications <sup>3</sup> ; <b>OR</b>
<input type="checkbox"/>	To <b>cancel</b> prior request(s), if any, of printed version(s) of all future Corporate Communications and Actionable Corporate Communications. Shareholders will refer to the online versions to be published on the Company’s website and the website of The Stock Exchange of Hong Kong Limited for all future Corporate Communications or the electronic form of Actionable Corporate Communications to be received by email <sup>4</sup> (as the case may be).

Signature: \_\_\_\_\_

Address: \_\_\_\_\_

(Please use **ENGLISH BLOCK LETTERS**)

Contact telephone number: \_\_\_\_\_

Date: \_\_\_\_\_

Notes:

1. Corporate Communications include any document(s) issued or to be issued by the Company for the information or action of holders of any of its securities or the investing public, including but not limited to (a) the directors’ report and its annual accounts together with a copy of the auditors’ report and, where applicable, its summary financial report; (b) the interim report and, where applicable, its summary interim report; (c) the quarterly report, if any; (d) a notice of meeting; (e) a listing document; (f) a circular; and (g) a proxy form.
2. Actionable Corporate Communications refer to any Corporate Communications that seek instructions from the Shareholders on how they wish to exercise their rights or make elections as the Shareholders.
3. If both English and Chinese versions of the Corporate Communications and Actionable Corporate Communications are combined into one document, a printed version of the Corporate Communications and Actionable Corporate Communications with both English and Chinese versions will be sent to the Shareholder requesting for a printed copy of any version(s) of the Corporate Communications and Actionable Corporate Communications.
4. It is the Shareholder’s responsibility to provide email address that is functional. If the Company does not receive the completed form or the email address provided is not functional, the Company will send the Actionable Corporate Communication in printed form together with a request for soliciting your functional email address to facilitate electronic dissemination of Actionable Corporate Communications in the future. The Company will be considered to have complied with the Rules Governing the Listing of Securities on of The Stock Exchange of Hong Kong Limited if it sends Actionable Corporate Communications to the email address provided by a Shareholder without receiving any “non-delivery message”.
5. Please complete all your details clearly. If more than one box is marked, the Company reserves the right to treat this request as void.
6. In the case of joint registered holders of any shares, this form shall be deemed to be submitted by the joint registered holder whose name first appears on the register of members for and on behalf of all the joint registered holders.
7. If more than one email address is provided, the Company will only adopt the first email address as set out above.
8. This request will remain valid unless it is revoked or superseded or until it expires on the last day of the following financial year of the Company (whichever is earlier). Further request in writing will be required if Shareholder wishes to continue receiving printed version of future Corporate Communications and Actionable Corporate Communications.
9. For avoidance of doubt, the Company does not accept any other instructions given on this request. Any other instructions inserted on this request will be void.

\* Share Registrar will be changed to Tricor Investor Services Limited with effect from 30 April 2025, details of which are set out in the Company’s announcement dated 2 April 2025.

#### PERSONAL INFORMATION COLLECTION STATEMENT

“Personal Data” in this statement has the same meaning as “personal data” defined in the Personal Data (Privacy) Ordinance, Cap. 486 (the “PDPO”), which may include but not limited to your name, contact telephone number, email address and mailing address.

Your supply of Personal Data is on a voluntary basis for the purpose of receiving Corporate Communications in the manner chosen. Your Personal Data will be retained for such period as may be necessary for our verification and record purposes.

You have the right to request access to and/or to correct the respective Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of the Personal Data should be in writing by either of the following means:

By mail to: Data Privacy Officer  
Tricor Tengis Limited\*  
17/F, Far East Finance Centre  
16 Harcourt Road, Hong Kong

By email to: [is-enquiries@vistra.com](mailto:is-enquiries@vistra.com)